

Technology Research Funding Agreement #\_\_\_\_

This Technology Research Funding Agreement (hereafter “TRFA”) is entered into on \_\_\_\_\_, (the “Effective Date”) by and among **The Water Research Foundation** (“WRF”), a Colorado non-profit corporation whose principal place of business is located at 6666 W. Quincy Ave., Denver, Colorado 80235, and \_\_\_\_\_, a \_\_\_\_\_ with its principal address located at \_\_\_\_\_ (“Utility”).

WRF has selected certain researchers (“Researcher”) to perform research and testing services for specific technologies owned by third parties (“Technologies”), to which research projects Utility has agreed to contribute resources and assistance, as more specifically detailed in this TRFA. The parties mutually agree as follows:

- I. DEFINITIONS.** In addition to other terms defined herein, the following definitions shall apply in this TRFA:
- A. “Contributions” is the resources, expertise, premises, tools, funds, and/or any other assistance that Utility has agreed to provide to contribute to the Project under this TRFA, as detailed in Exhibit A.
  - B. “Deliverables” are the Reports, the Work Product, Inventions, and any other items as further identified in the Project Plan as required to be developed, procured, and delivered by Subcontractor to WRF under the terms of this TRFA.
  - C. “IP” is all rights to copyrights, trademarks, service marks, patents, trade secrets, know how, and confidential information, including the right to enforce, divest, license, seek registration, prosecute infringers, and commercially or otherwise exploit such rights.
  - D. “Project” is the **[identify via project number and name, details of researcher tasks don’t need to be in this agreement, only the contributions, and identification of the project subject]**.
  - E. “Final Report” is the final comprehensive report prepared by the Researcher that will summarize the findings for the Project.

**II. GENERAL OBLIGATIONS OF THE PARTIES**

- A. Utility. Utility will contribute such Contributions, and on the timelines, as described in Exhibit A.
- B. WRF. Provided that WRF has received the full Contributions required from Utility, WRF will pay Utility the compensation, if any, as described in Exhibit A. WRF will also manage and oversee disbursement of funds and Contributions, to the extent delivered directly to WRF, to the Researcher, and delivery to Utility of the Final Report accepted by WRF.

### III. IP RIGHTS AND PUBLICATION

#### A. Work Product.

1. WRF shall own all worldwide copyrights in all work product that are works of authorship created by Researcher in the course of performing under the Project, including, without limitation, the Final Report, and any computer software, database, tool and related documentation developed under the Project (collectively the “Work Product”).
2. WRF will provide Utility with one copy of the Final Report in PDF form. Utility shall not make further copies, publish, distribute, or disclose the Final Report or any portion of the Work Product without the prior written approval of WRF. The Work Product may not be copied, published, adapted, posted on an intranet or website, or disclosed in any manner by Utility or any agent or affiliate of Utility, except with WRF’s prior written approval.

B. Publication. As the owner of Work Product, all rights to publish, distribute, publicly perform, and publicly present the Reports belong solely to WRF. Utility may publish or present based on the Final Report only, in whole or in part, and only with the prior written permission of WRF, which may be withheld or conditioned at WRF’s sole discretion. Any such request for permission from WRF must be made to WRF at least three (3) weeks prior to the requesting party’s proposed date of publication or presentation based on any portion of the Final Report, and the request must be accompanied by copies of the proposed publication or presentation material. All copies of or presentations based on the Final Report authorized WRF shall conspicuously display all copyright notices required by WRF.

### IV. TERM AND TERMINATION

A. Term. This TRFA commences on the Effective Date, and shall continue for the duration of the Project, ending on WRF’s delivery to Utility of a copy of the Final Report. This TRFA may be terminated earlier for the following reasons:

1. WRF may terminate this TRFA by written notice to Utility at any time in the event of a breach of this TRFA or any requirements of or timelines in the Project by Utility, which breach remains uncured for thirty (30) days following Utility’s receipt of the notice of breach.
2. WRF may terminate this TRFA effective immediately by written notice to Utility parties in the event WRF reasonably determines that the Project is no longer feasible nor its performance desired, or that Researcher is not likely to complete the requirements of the Project on time or on budget, or upon any termination of WRF’s funding agreement with the Researcher.
3. Utility may terminate this TRFA by a ninety (90) day prior written notice to WRF if WRF materially breaches this TRFA and fails to cure that breach within ninety (90) days of its receipt of the notice of breach.

### V. DISPUTE RESOLUTION

In the event of a dispute arising between WRF and Utility under this TRFA, such dispute shall be resolved by binding arbitration conducted in accordance with the then effective rules of expedited

commercial arbitration of the American Arbitration Association (“AAA”) in Denver, Colorado U.S.A. There shall be one Arbitrator selected in accordance with such rules. The Arbitrator shall have subpoena powers. Any final binding determination issued by the Arbitrator shall be in writing within thirty (30) days of the final mediation session. Such written decision may be enforced in any court having proper jurisdiction. Notwithstanding the foregoing, WRF shall be entitled to seek redress in court without the need to arbitrate in order to seek injunctive relief to enforce its IP rights.

## VII. STANDARD TERMS AND CONDITIONS

- A. Survival. All terms which by their nature and intent are required to be performed after termination of this TRFA shall survive to the extent necessary to enable their fulfillment.
- B. Standard of Performance. At all times, all obligations performed by Utility pursuant to this TRFA shall be performed in a manner consistent with or exceeding the professional standards governing such activities. Utility shall defend, hold harmless and indemnify WRF and its officers, directors, affiliated organizations, employees, agents, volunteers, and publisher, if any, from any and all liability, obligation, damage, loss, cost, claim, lawsuit, cause of action, or demand whatsoever of any kind or nature, including, but not limited to, attorneys’ fees and costs, arising from any material breach of this TRFA, or any actions taken by, or omissions of, Utility, its officers, directors, employees, or agents.
- C. Authority. The individuals executing this TRFA on behalf of their respective parties hereby represent and warrant that they have the right, power, legal capacity, and appropriate authority to enter into this TRFA on behalf of the entity for which they sign below.
- D. Modifications. No provision, requirement, or term of this TRFA, including the Contributions or Project, may be modified, supplemented or amended, nor may it be waived or discharged, except in writing, signed by all parties. A written waiver of a breach of one provision in this TRFA shall not operate as a waiver of a subsequent breach of the same provision.
- E. No Assignment. Utility shall not assign this TRFA in whole or in part, including by operation of law, merger, reorganization, or change in ownership or control. Any unauthorized assignments shall be void.
- F. Integration. This TRFA, including all attachments hereto and the documents and requirements referenced herein, contains the entire understanding between the parties relating to this TRFA. This TRFA supersedes all prior and contemporaneous understandings, representations, negotiations, and agreements between the parties whether written or oral. In the event of a conflict between the terms of an Exhibit or other document referenced herein and this TRFA, the terms of this TRFA shall control.
- G. Severability. The provisions of this TRFA shall be severable, and the invalidity, illegality or unenforceability of any provision of this TRFA shall not affect the validity or enforceability of any other provisions. If any provision of this TRFA is found to be invalid, illegal, or unenforceable, including any prohibition or limitation on a party’s ability to assume liabilities or indemnification obligations, such provision shall be modified to the extent necessary to render it enforceable, and as modified, this TRFA shall remain in full force and effect.
- H. Notices. Any notice, request, demand, or communication required or allowed under this TRFA shall be sent in writing to the addresses and contact information for the parties set forth in Exhibit B, and

shall be deemed sufficiently given upon delivery, if delivered by hand (signed receipt obtained), or three (3) days after posting if properly addressed and sent certified mail return receipt requested, or upon receipt if sent via facsimile or email, if delivery can be confirmed by the sender. Notices shall become effective on the date of receipt or the date specified within the notice, whichever comes later.

- I. Limitation of Liability. IN NO EVENT SHALL WRF OR ANY OF ITS OFFICERS, DIRECTORS, EMPLOYEES, AFFILIATES, AGENTS OR REPRESENTATIVES BE LIABLE TO ANY OTHER PARTY, OR ANY THIRD PARTY FOR ANY SPECIAL, INDIRECT, INCIDENTAL, EXEMPLARY OR CONSEQUENTIAL DAMAGES OR LOSS OF GOODWILL OR EXPECTED PROFITS OR REVENUES, IN ANY WAY RELATING TO THIS TRFA, INCLUDING, WITHOUT LIMITATION, THE FAILURE OF ESSENTIAL PURPOSE, EVEN IF IT HAS BEEN NOTIFIED OF THE POSSIBILITY OR LIKELIHOOD OF SUCH DAMAGES OCCURRING, AND WHETHER SUCH LIABILITY IS BASED ON CONTRACT, TORT, NEGLIGENCE, STRICT LIABILITY, STATUTE, PRODUCTS LIABILITY OR OTHERWISE.
- J. Applicable Law/Venue. This TRFA is written and shall be construed in accordance with and governed by the laws of Colorado unless U.S. Federal law applies.
- K. Counterparts. This TRFA may be executed and delivered in counterparts, including by facsimile, email, and other selected electronic signature website or software, and each shall be valid as if all parties had executed the same document.
- L. Relationship. The parties are independent contractors, and no agency, employer-employee, partnership, or joint venture relationship is intended or created by this TRFA. No party shall have any right or authority to assume or create any obligation, commitment or responsibility for or on behalf of the others except as the other may expressly authorize in writing. No party shall be eligible to participate in another's benefit program. Researcher shall be solely responsible for the performance and compensation of its employees and Subcontractors, for withholding taxes and providing unemployment and other benefits. Utility shall not represent or imply that WRF's management of this TRFA, its funding of the Project, or its publication of the Final Report in any manner constitutes an endorsement, approval, or expression of any other opinion regarding the Technology or its owner or of Utility.

Project \_\_\_\_

IN WITNESS WHEREOF, the parties have caused this TRFA to be signed and dated as of the Effective Date.

**The Water Research Foundation**

**[Utility]**

\_\_\_\_\_  
By: Peter C. Grevatt, PhD  
Title: Chief Executive Officer

\_\_\_\_\_  
By: \_\_\_\_\_  
Title: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

**DRAFT - DO NOT SIGN**

**EXHIBIT A**  
**Contribution and Compensation**

Project \_\_\_\_

**Title:**

Contributions:

Utility will contribute \_\_\_\_\_ in cost share, comprising of personnel, materials, and indirect costs, through completion of the Project.

Utility will make available for use by the Researchers for the Project a [describe] trailer, to be placed [describe] and maintained by Utility throughout the Project. Utility will ensure that the trailer is equipped with [utilities, other retrofitting requirements], and ready to be used by no later than \_\_\_\_\_.

Compensation:

As rental fee for the trailer and retrofitting services provided by Utility, WRF will pay Utility \$\_\_\_\_\_ within ten (10) days of the completion of the Project and delivery of the Final Report to Utility.

[www.waterrf.org/contract-materials#Exhibits](http://www.waterrf.org/contract-materials#Exhibits)

**EXHIBIT B**

Project \_\_\_\_  
Continued

**Title:**

**WRF Key Contacts:**

The Water Research Foundation  
6666 West Quincy Avenue  
Denver, CO 80235

<b>Name:</b>	<b>Title:</b>	<b>Phone:</b>	<b>Email:</b>
	Research Program Manager		
	Project Coordinator		
Christine Conville	Contracts Manager	303.734.3424	<a href="mailto:cconville@waterrf.org">cconville@waterrf.org</a>
Connie Schonlau	Contracts Assistant	303.347.6211	<a href="mailto:cschonlau@WaterRF.org">cschonlau@WaterRF.org</a>

**Utility Key Contacts:**

<b>Name/Title:</b>	<b>Project Role:</b>	<b>Organization/Address:</b>	<b>Phone:</b>	<b>Email:</b>

Each party shall provide written notice of changes in contact persons, addresses, telephone, fax, and email addresses.